

FORM PTO-1390  
(REV. 11-94)

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

**TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)**

633-489

**09/529672**

INTERNATIONAL APPLICATION NO.  
PCT/FR99/02010

INTERNATIONAL FILING DATE  
August 18, 1999

PRIORITY DATE CLAIMED  
August 18, 1998

**TITLE OF INVENTION**

METHOD AND DEVICE FOR FURNACE-WORK PRODUCTION OF AN EXTRUDED STRING RIM PORTION ON AN OBJECT AND ARTICLE COMPRISING SUCH AN OBJECT

**APPLICANT(S) FOR DO/EO/US**

Gerd CORNILS, Florian FISCHER and Stefan VERBEEK

Applicant herewith submits to the United States Designated/ Elected Office (DO/EO/US) the following items under 35 U.S.C. 371:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☒ is transmitted herewith (required only if not transmitted by the international Bureau).
  - b. ☒ has been transmitted by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)
- ☒ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
- ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ have been transmitted by the International Bureaus.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
- ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
- ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
- ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A **FIRST** preliminary amendment.  
☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information:
  - 16a. First Page of WO 00/10793
  - 16b. International Search Report in English (4 pages) and in French (4 pages)
  - 16c. Formal Drawings (6 sheets, Figs. 1-7)

17. ☒ The U.S. National Fee (37 CFR 1.492(c)(1)) and other fees as follows:

## CLAIMS

| (1)FOR  | (2)NUMBER<br>FILED | (3)NUMBER<br>EXTRA | (4)RATE     | (5)CALCULATIONS          |
|---|--------------------|--------------------|-------------|--------------------------|
| TOTAL<br>CLAIMS   | 19 - 20            | 0                  | X \$ 18.00  | \$ 0.00                  |
| INDEPENDENT<br>CLAIMS   | 4 - 3              | 1                  | X \$ 78.00  | 78.00                    |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable)   |                    |                    | + \$ 260.00 | <input type="checkbox"/> |
| BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)):<br><b>CHECK ONE BOX ONLY</b>   |                    |                    |             |                          |
| <input type="checkbox"/> International preliminary examination fee paid to USPTO (37 CFR 1.482)<br>..... \$ 670   |                    |                    |             |                          |
| <input type="checkbox"/> No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2))<br>..... \$ 760                |                    |                    |             |                          |
| <input type="checkbox"/> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$ 970                            |                    |                    |             |                          |
| <input type="checkbox"/> International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2) to (4) ..... \$ 96                       |                    |                    |             |                          |
| <input checked="" type="checkbox"/> Filing with EPO or JPO search report ..... \$ 840   |                    |                    |             | \$ 840.00                |
| Surcharge of \$130.00 for furnishing the National fee or oath or declaration later than <input checked="" type="checkbox"/> 20 30 mos. from the earliest claimed priority date (37 CFR 1.492(e)). |                    |                    |             | \$ 130.00                |
| TOTAL OF ABOVE CALCULATIONS   |                    |                    |             | = 1,048.00               |
| Reduction by 1/2 for filing by small entity, if applicable. Affidavit must be filed also. (Note 37 CFR 1.9, 1.27, 1.28).  |                    |                    |             | - \$ 0.00                |
| SUBTOTAL  |                    |                    |             | = 1,048.00               |
| Processing fee of \$130.00 for furnishing the English Translation later than 20 30 mos. from the earliest claimed priority date (37 CFR 1.492(f)).  |                    |                    |             | + \$                     |
| TOTAL FEES ENCLOSED   |                    |                    |             | \$ 1,048.00              |

- ☐ A check in the amount of \$\_\_ to cover the above fees is enclosed.
- ☒ Please charge Deposit Account No. 16-1150 in the amount of \$1,048.00 to cover the above fees. A copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 16-1150. A copy of this sheet is enclosed.

18. ☐ Other instructions  
n/a19. ☒ All correspondence for this application should be mailed to  
PENNIE & EDMONDS LLP  
1667 K STREET, N.W.  
WASHINGTON, D.C. 2000620. ☒ All telephone inquiries should be made to (202) 496-4720Harry C. Jones, III  
NAME

SIGNATURE

20,280

REGISTRATION NUMBER

APRIL 18, 2000  
DATE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

09/529672

U.S. National Phase of  
International Application  
No. PCT/FR99/02010

416 Rec'd PCT/PTO 18 APR 2000  
Attorney Docket No.:  
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Int. Filing Date:  
August 18, 1999

Group Art Unit: to be assigned

Examiner: to be assigned

Serial No.: to be assigned

Filed: Herewith

Inventors: Gerd CORNILS et al.

For: METHOD AND DEVICE FOR  
FURNACE-WORK PRODUCTION  
OF AN EXTRUDED STRING RIM  
PORTION ON AN OBJECT AND  
ARTICLE COMPRISING SUCH  
AN OBJECT

FEE TRANSMITTAL SHEET

Assistant Commissioner for Patents  
United States Patent and Trademark Office  
BOX PCT  
Washington, D.C. 20231

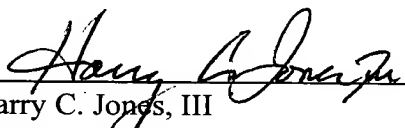
Sir:

No fee is believed due for the accompanying Information Disclosure Statement.

However, should a fee be required, the Commissioner is hereby authorized to charge any such fee to Pennie & Edmonds LLP's Deposit Account No. 16-1150. A copy of this sheet is enclosed.

Respectfully submitted,

Date APRIL 18, 2000

  
Harry C. Jones, III 20,280  
PENNIE & EDMONDS LLP (Reg. No.)  
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Washington, DC 20006  
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Enclosure